

# Questionnaire

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## General

Name: \_\_\_\_\_

Date of Birth: \_\_\_\_\_

Retirement Age: \_\_\_\_\_

Life Expectancy: \_\_\_\_\_

## Spouse Information

Name: \_\_\_\_\_

Date of Birth: \_\_\_\_\_

Retirement Age: \_\_\_\_\_

Life Expectancy: \_\_\_\_\_

## Children Information

Name: \_\_\_\_\_

Date of Birth: \_\_\_\_\_

Name: \_\_\_\_\_

Date of Birth: \_\_\_\_\_

Name: \_\_\_\_\_

Date of Birth: \_\_\_\_\_

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**Investment Assets**

Asset 1

Name: \_\_\_\_\_

Value: \_\_\_\_\_

After-tax (cost) basis: \_\_\_\_\_

Annual Contributions: \_\_\_\_\_

Type: \_\_\_\_\_  
(Investment, Retirement, ROTH)

Asset 2

Name: \_\_\_\_\_

Value: \_\_\_\_\_

After-tax (cost) basis: \_\_\_\_\_

Annual Contributions: \_\_\_\_\_

Type: \_\_\_\_\_  
(Investment, Retirement, ROTH)

Asset 3

Name: \_\_\_\_\_

Value: \_\_\_\_\_

After-tax (cost) basis: \_\_\_\_\_

Annual Contributions: \_\_\_\_\_

Type: \_\_\_\_\_  
(Investment, Retirement, ROTH)

Asset 4

Name: \_\_\_\_\_

Value: \_\_\_\_\_

After-tax (cost) basis: \_\_\_\_\_

Annual Contributions: \_\_\_\_\_

Type: \_\_\_\_\_  
(Investment, Retirement, ROTH)

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**Real Estate and Other Assets**

Asset 1

Name: \_\_\_\_\_

Owner: \_\_\_\_\_

Purchase Price: \_\_\_\_\_

Current Value: \_\_\_\_\_

Asset 2

Name: \_\_\_\_\_

Owner: \_\_\_\_\_

Purchase Price: \_\_\_\_\_

Current Value: \_\_\_\_\_

Asset 3

Name: \_\_\_\_\_

Owner: \_\_\_\_\_

Purchase Price: \_\_\_\_\_

Current Value: \_\_\_\_\_

Asset 4

Name: \_\_\_\_\_

Owner: \_\_\_\_\_

Purchase Price: \_\_\_\_\_

Current Value: \_\_\_\_\_

\*Please list any additional real estate and other assets on a separate sheet of paper.

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**Liabilities**

Loan 1

Name: \_\_\_\_\_

Type:  Fixed Term (Mortgages, auto loans, etc.)  
 Variable Term (Home equity, consumer debt, etc.)

Origination Date: \_\_\_\_\_

Original Amount: \_\_\_\_\_

Term (in years): \_\_\_\_\_

Interest Rate: \_\_\_\_\_

Loan 2

Name: \_\_\_\_\_

Type:  Fixed Term (Mortgages, auto loans, etc.)  
 Variable Term (Home equity, consumer debt, etc.)

Origination Date: \_\_\_\_\_

Original Amount: \_\_\_\_\_

Term (in years): \_\_\_\_\_

Interest Rate: \_\_\_\_\_

Loan 3

Name: \_\_\_\_\_

Type:  Fixed Term (Mortgages, auto loans, etc.)  
 Variable Term (Home equity, consumer debt, etc.)

Origination Date: \_\_\_\_\_

Original Amount: \_\_\_\_\_

Term (in years): \_\_\_\_\_

Interest Rate: \_\_\_\_\_

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Income

Salary

Gross Salary: \_\_\_\_\_

Frequency Received: Every \_\_\_\_ Years

Self-Employed? \_\_\_\_ Yes \_\_\_\_ No

Spouse's Salary

Gross Salary: \_\_\_\_\_

Frequency Received: Every \_\_\_\_ Years

Self-Employed? \_\_\_\_ Yes \_\_\_\_ No

Pension

Recipient: \_\_\_\_\_

Amount: \_\_\_\_\_

Frequency Received: Every \_\_\_\_ Years

Start Date: \_\_\_\_\_

End Date: \_\_\_\_\_

Other Income

This includes income such as alimony, child support, inheritance, monetary gift, trust distributions, etc.

Name: \_\_\_\_\_

Amount: \_\_\_\_\_

Frequency Received:

\_\_\_\_ One-Time Income \_\_\_\_ Recurring Income

If Recurring Income:

Received Every \_\_\_\_ Years

Start Date: \_\_\_\_\_

End Date: \_\_\_\_\_

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## Expenses

### Living Expense 1

Name: \_\_\_\_\_

Start Date: \_\_\_\_\_

End Date: \_\_\_\_\_

Amount: \_\_\_\_\_

Frequency Paid: Every \_\_\_\_\_ Years

### Living Expense 2

Name: \_\_\_\_\_

Start Date: \_\_\_\_\_

End Date: \_\_\_\_\_

Amount: \_\_\_\_\_

Frequency Paid: Every \_\_\_\_\_ Years

### Education Expense 1

Whose Education: \_\_\_\_\_

Begin Education Age: \_\_\_\_\_

Education Duration (in years): \_\_\_\_\_

School Name (if known): \_\_\_\_\_

### Education Expense 2

Whose Education: \_\_\_\_\_

Begin Education Age: \_\_\_\_\_

Education Duration (in years): \_\_\_\_\_

School Name (if known): \_\_\_\_\_

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Expenses

Education Expense 3

Whose Education: \_\_\_\_\_

Begin Education Age: \_\_\_\_\_

Education Duration (in years): \_\_\_\_\_

School Name (if known): \_\_\_\_\_

Other Expense 1

Name: \_\_\_\_\_

Amount: \_\_\_\_\_

\_\_\_ One-Time Expense \_\_\_ Recurring Expense

If Recurring Expense:

Start Date: \_\_\_\_\_

End Date: \_\_\_\_\_

Paid Every \_\_\_\_\_ Years

Other Expense 2

Name: \_\_\_\_\_

Amount: \_\_\_\_\_

\_\_\_ One-Time Expense \_\_\_ Recurring Expense

If Recurring Expense:

Start Date: \_\_\_\_\_

End Date: \_\_\_\_\_

Paid Every \_\_\_\_\_ Year

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**Life Insurance**

Insured Life: \_\_\_\_\_

Policy Owner: \_\_\_\_\_

Beneficiaries:

Name: \_\_\_\_\_

Name: \_\_\_\_\_

Purchase Date: \_\_\_\_\_

Face Value/Death Benefit: \_\_\_\_\_

Annual Benefit Change (if any): \_\_\_\_\_

Does Policy Expire?  Yes  No

If so, when?

Annual Premium

Term Portion: \_\_\_\_\_

Accumulation Portion: \_\_\_\_\_

**Disability Insurance**

Policy Name: \_\_\_\_\_

Name of Insured: \_\_\_\_\_

Purchase Date: \_\_\_\_\_

Annual Benefit: \_\_\_\_\_

Annual Benefit Change: \_\_\_\_\_

Expiration Date: \_\_\_\_\_

Annual Premium: \_\_\_\_\_

Annual Premium Increase: \_\_\_\_\_

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**Education Funding**

Dependent 1

Plan Type: \_\_\_\_\_  
(529, UGMA/UTMA, Coverdale ESA, Taxable Account)

After tax basis/Cost basis: \_\_\_\_\_

Current Value: \_\_\_\_\_

Annual Contribution: \_\_\_\_\_

Dependent 2

Plan Type: \_\_\_\_\_  
(529, UGMA/UTMA, Coverdale ESA, Taxable Account)

After tax basis/Cost basis: \_\_\_\_\_

Current Value: \_\_\_\_\_

Annual Contribution: \_\_\_\_\_

Dependent 3

Plan Type: \_\_\_\_\_  
(529, UGMA/UTMA, Coverdale ESA, Taxable Account)

After tax basis/Cost basis: \_\_\_\_\_

Current Value: \_\_\_\_\_

Annual Contribution: \_\_\_\_\_

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## Estate Planning Strategies

### Credit Shelter Trust

Amount funded at first death: \_\_\_\_\_

CST Source: \_\_\_\_\_

### Gifting 1

Start Date: \_\_\_\_\_

End Date: \_\_\_\_\_

Number of Recipients: \_\_\_\_\_

Total Amount: \_\_\_\_\_

Source for gifting: \_\_\_\_\_

### Gifting 2

Start Date: \_\_\_\_\_

End Date: \_\_\_\_\_

Number of Recipients: \_\_\_\_\_

Total Amount: \_\_\_\_\_

Source for gifting: \_\_\_\_\_

### Assets to Charity 1

Name of Charity: \_\_\_\_\_

Date of Donation: \_\_\_\_\_

Source of assets to charity: \_\_\_\_\_

Percentage of asset to charity: \_\_\_\_\_

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